

EFW



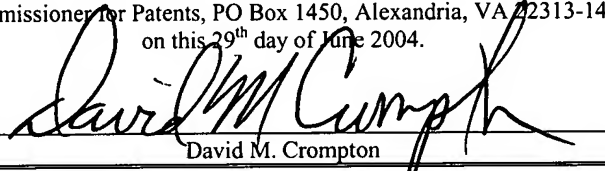
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|--|-------------------|---------|
| Applicant: | Suranjan Roychowhury et al. | Confirmation No.: | Unknown |
| Serial No.: | 10/811,609 | Examiner: | Unknown |
| Filing Date: | March 29, 2004 | Group Art Unit: | Unknown |
| Docket No.: | 1001.1368102 | Customer No.: | 28075 |
| For: | INTRAVASCULAR OCCLUSION BALLOON CATHETER | | |

TRANSMITTAL SHEET

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

| |
|---|
| <p><u>CERTIFICATE UNDER 37 C.F.R. § 1.8:</u> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:</p> <p align="center">Mail Stop Amendment Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 29th day of June 2004.</p> <p>By  David M. Crompton</p> |
|---|

We are transmitting herewith the attached:

- [] Amendment
- [] No additional claim fee required
- [] The claim fee has been calculated as shown:

| CLAIMS AS AMENDED | | | | | | | |
|------------------------------------|------------------|--------------|-------|--------------|-----------|---------|-----------|
| | (3) | (4) | (5) | SMALL ENTITY | | OTHER | |
| | REMAINING CLAIMS | HIGHEST PAID | EXTRA | RATE | ADD'L FEE | RATE | ADD'L FEE |
| TOTAL CLAIMS | - | = | | X 9= | \$ | X 18= | \$ |
| INDEPENDENT CLAIMS | - | = | | X 43= | \$ | X 86 = | \$ |
| () FIRST MULTIPLE DEPENDENT CLAIM | | | | + 145 = | \$ | + 290 = | \$ |
| TOTAL | | | | \$ | | \$ | |

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

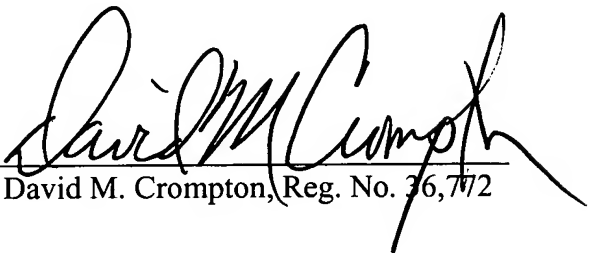
Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: Information Disclosure Statement and Form PTO-1449

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

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P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 29th day of June 2004.

By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached Form PTO-1449 are hereby disclosed and brought to the attention of the Examiner.

Pursuant to 37 C.F.R. § 1.98(d), the instant application relies on the earlier filing date of prior application Serial No. 09/770,330, filed January 26, 2001, under 35 U.S.C. § 120. The information disclosure statement submitted in the earlier application is in compliance with 37 C.F.R. § 1.98(a)-(c).

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided, with the exception of the references cited in the earlier application which are not required to be provided for this application in accordance with 37 C.F.R. § 1.98(d).

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Suranjan Roychowhury et al.

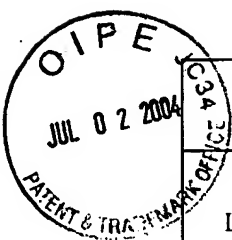
By their Attorney,

Date: _____

6/29/04



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|---|--|---------------------------|
| FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | Atty. Docket No.: 1001.1368102 | Serial No.: 10/811,609 |
| | Applicant: Suranjan Roychowhury et al. | |
| | Confirmation No.: Unknown | |
| | Filing Date: March 29, 2004 | Group Art: Unknown |

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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.